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    UNITED STATES OF AMERICA
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                          UNITED STATES DISTRICT COURT
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                    FOR THE CENTRAL DISTRICT OF CALIFORNIA
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    UNITED STATES OF AMERICA
                                         2:24-CR-000456-TJH
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                                         GOVERNMENT'S NOTICE REGARDING
                   v.
                                         RELATED DECISION
20
    ANDREW LEFT,
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              Defendant.
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         The United States of America, by and through counsel of record,
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files this notice concerning a related decision by the Hon. Sherilyn Peace Garnett in a related civil enforcement action, Securities and Exchange Commission v. Andrew Left and Citron Capital, LLC, 2:24-cv-6311-SPG-JC, ECF No. 29 (April 22, 2025) (attached hereto as Exhibit A). While there are certain differences between the SEC's complaint

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and the indictment in this matter, Judge Garnett's decision — denying the civil defendants' motion to dismiss the civil enforcement action by the SEC — addresses certain overlapping issues with the current motion pending before this Court. (See ECF No. 34 (Defendant Left's Motion to Dismiss the Indictment).) Namely, Judge Garnett rejected defendants' arguments that the SEC had failed to state a claim alleging securities fraud concerning the defendants' fraudulent scheme and fraudulent misstatements. See Ex. A at 19-24. Similarly, Judge Garnett rejected defendants' arguments that the complaint improperly alleged extraterritorial jurisdiction, violated defendants' First Amendment rights, or violated defendants' Due Process rights. See Ex. A at 24-26; fn. 10.

In advance of the forthcoming hearing on defendant's motion to dismiss this case, the government provides notice of this related decision in the civil enforcement action for the Court's consideration.

DATE: April 28, 2025

Respectfully submitted,

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